

SECTION 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
CALDWELL)
Serial No. 09/828,293) Atty Docket No. 71886
Confirmation No. 6595)
Filing Date: APRIL 6, 2001:) Art Unit: 1722
For: MANDREL-ASSISTED RESIN)
TRANSFER MOLDING PROCESS)
EMPLOYING RESIN OUTFLOW)
PERIMETER CHANNEL BETWEEN)
MALE AND FEMALE MOLD ELEMENTS)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts
mailed May 11, 2001, Applicants enclose the following:

- 1) Filing fee of \$370.00 for a small entity.
- 2) Surcharge of \$65.00 for small entity.
- 3) Applicant qualifies as a small entity under 37 CFR § 1.27.
- 4) Declaration and Power of Attorney.
- 5) Assignment to Caldwell Design and Fabrication, L.L.C., together with cover page and \$40 recordal fee.

In re Patent Application of:

CALDWELL

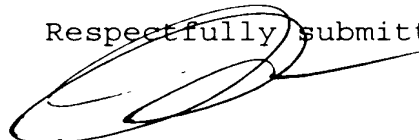
Serial No. 09/828,293

Filed: APRIL 6, 2001

- 6) Request for 4 month Extension of Time.
- 7) Transmittal of Formal Drawings.
- 8) Copy of "Notice to File Missing Parts of Application--Filing Date Granted" mailed May 5, 2001.

Authorization is give to charge Deposit Account No. 01-0484 in the amount of \$370.00 filing fee, \$65.00 surcharge, \$40.00 for the assignment recordal fee and \$720.00 for four month extension of time, for a total of \$1,195.00. If any additional extension and/or fee is required, charge Account No. 01-0484.

Respectfully submitted,



CHARLES E. WANDS
Reg. No. 25,649



27975

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C., on this 7 day of November, 2001.

Dove



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,293	04/06/2001	James D. Caldwell JR.	71886

CONFIRMATION NO. 6595

FORMALITIES LETTER



OC000000006065623

CHRISTOPHER F. REGAN
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Milbrath & Gilchrist, P.A.
P.O. Box 3791
Orlando, FL 32802-3791

RECEIVED

MAY 17 2001

A.D.D.M. & G.

Date Mailed: 05/11/2001

NOTICE TO FILER REGARDING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fee required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

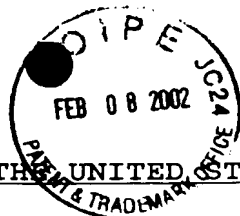
*A copy of this notice **MUST** be returned with the reply.*

H.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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MALE AND FEMALE MOLD ELEMENTS)

TRANSMITTAL OF FORMAL DRAWINGS

Director, U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Enclosed are FOUR (4) sheets of formal drawings to
be filed in the above-identified patent application.

Respectfully submitted,

CHARLES E. WANDS
Reg. No. 25,649



27975

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being
deposited with the United States Postal Service as first class
mail in an envelope addressed to: DIRECTOR, U.S. PATENT AND
TRADEMARK OFFICE, WASHINGTON, D.C. 20231, on this 7 day of
November, 2001.